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SP01-286

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: USHINSKY, Michael et al.  
Serial No: 09/967,315  
Filed: 9/28/2001  
For: Multiple Polarization Combiner-Splitter-  
Isolator and Method of Manufacturing Same

Examiner: SONG, Sarah

Group Art Unit: 2874

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following references listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the references in the "Foreign Patent Documents" section on PTO-1449 is enclosed.

Each of the listed references was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: Dec. 17, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on

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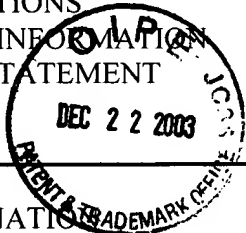
Date of Deposit

Mary Y Redman

12/17/03

Date of Signature

FORM PTO-1449 (MODIFIED)	ATTORNEY DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	SP01-286	09/967315
	APPLICANT USHINSKY MICHAEL, et al.	
	FILING DATE 9/28/2001	GROUP: 2874



REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	5,838,847	11/17/98	Pan Jing-Jong et al			
	AB	5,724,165	3/3/98	Wu Kuang-Yi			
	AC	5,917,626	6/29/99	Lee Ho-Shang			
	AD	6,084,994	7/4/00	Lu Haiguang et al			
	AE	5,748,820	5/5/98	Le Marer Rene et al			

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AF	EP0725289	8/7/96	European			
	AG	EP0738909	10/23/96	European			
	AH	EP0758754	2/19/97	European			
	AI	WO03021311	3/13/03	PCT			
	AJ	WO03021318	3/13/03	PCT			

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	